

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Andrew Mark Gutman et al.

ORIGINAL PATENT NO.: 6,298,383

REISSUE SERIAL NO.: Filed Herewith

FILING DATE: Filed Herewith

TITLE: Integration of Authentication Authorization and
Accounting Service and Proxy Service

EXAMINER: Robert Harrell (in original case)

ART UNIT: ~~2442~~ 2442

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as Express Mail
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INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

No fee is due.

The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e) , I certify:

No certification is necessary.

(1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

(2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: October 2, 2003



David B. Ritchie
Reg. No. 31,562

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
Phone: (408) 292-5800
Fax: (408) 287-8040

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. CISCO-8363	Serial No.: Unassigned 10/679,203		
Information Disclosure Statement by Applicant (Use several sheets if necessary)				Applicant: Andrew Mark Gutman et al. Filed: Herewith Group: Unassigned 10/02/2003 2442			
U.S. Patent Documents							
Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	4,763,191	8/9/1988	Gordon et al.	358	86	3/17/1986
	B	4,922,486	5/1/1990	Lidinsky et al.	370	60	3/31/1988
	C	4,962,497	10/9/1990	Ferenc et al.	370	60.1	9/21/1989
	D	5,003,595	3/26/1991	Collins et al.	380	25	8/29/1989
Foreign Documents							
Translation							
Init.		Document No.	Date	Country	Class	Subclass	Yes No
	E	0 567 217	10/27/1993	EP	H04L	12/46	X
	F	99/53408	10/21/1999	PCT	G06F	15/16	X
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)							
	G	Steven M Bellovin, "Problem Areas for the IP Security Protocols", Proceedings of the Sixth Usenix UNIX Security Symposium, July 22-25, 1996, San Jose, CA.					
	H	Active Software, Inc., "Active Software's Integration Systems", printed from http://www.activesw.com/products/products.html , on July 24, 1998, pp. 1-6.					
	I	Ascend Communications, Inc., "Access Control Product Information", 4 pages, 1997.					
	J	Ascend Communications, Inc., "Remote Access Network Security", printed from http://www.ascend.com/1103.html , on July 24, 1998, pp. 1-8.					
	K	Ascend Communications, Inc., "MultiVPN from Ascend Communications: Breaking Down the Barriers to VPNs", White Paper, 1998.					
	L	Dr. Rafael Bracho, "Integrating the Corporate Computing Environment with Active Software", November 18, 1998, pp. 1-17.					
	M	Dr. Rafael Bracho, "Mastering Corporate Computing with the ActiveWeb™ System", August 2, 1996.					
Examiner /Robert Harrell/					Date Considered /R.H./ 03/04/2009		
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.H./